



PTO/SB/08A (08-03)

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Substitute Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/399,578
Filing Date	09/20/1999
First Named Inventor	
Group Art Unit	2155
Examiner Name	Winder, Patrice L.
Attorney Docket Number	

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A2					

**OTHER ART -- NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A3	"Internet hasn't focused on good photography as much as it could" Article, The Dallas Morning News, 9/1995 (AOL-B 0001478)
	A4	"Group dynamics add fun to guided on-line tours" Article, USA Today, 10/1995 (AOL-B 0001479)
	A5	"People with addictions band together for support on line", Article, 6/1995(AOL-B 0001480)
	A6	"Netscape Communications Introduces Netscape Internet Applications Family For Electronic Commerce" Netscape Company Press Relations, 3/1995 (AOL-B 0005712-0005713)
	A7	"Netscape Navigator™ Personal Edition" Software (AOL-B 0000446-0000451)
	A8	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Expert Report of Bruce M. Maggs" dated 8/5/2005
	A9	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Supplemental Rebuttal Expert of Bruce M. Maggs Regarding Invalidity of U.S. Patent 5,956,491" dated 9/26/2005.
	A10	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, Rebuttal Expert Report of Bruce M. Maggs Regarding Invalidity of U.S. Patent 5,956,491" dated 8/28/2005.
	A11	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 4/29/2005
	A12	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Second Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 5/20/2005

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substantive for examination 1449A/PTO

**INFORMATION DISCLOSURE  
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Sheet 2 Of 2

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Group Art Unit	2155
Examiner Name	Winder, Patrice L.
Attorney Docket Number	

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	A13	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Third Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 8/11/2005
	A14	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Fourth Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 9/20/2005
	A15	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Fifth Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated 9/27/2005
	A16	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Declaration of Mr. David W. Jeske" dated 6/6/2005
	A17	"Netscape adds tools," Responsive Database Services, Inc., Press Release 3/1995 (AOL 1206861 - 1206862)
	A18	"Netscape communications introduces Netscape internet applications family for electronic commerce," PR Newswire Association, Inc., Press Release, 3/1995 (AOL 1206863 - 1206864)
	A19	"Full Scale Commerce With Netscape," Business Communications Co., Press Release, 4/1995 (AOL 1206865 - 1206866)
	A20	"NetScape spins Web extensions," adds firewall, Usenet servers, electronic shopping software NetScape Communications Proxy Server, Isore, Merchant System, Publishing System, Community System," Information Access Company, 4/1995 (AOL 1206867 - 1206868)
	A21	"Netscape offers bookmark, chat services on Web," InfoWorld Media Group, 8/1995 (AOL 1206869)
	A22	"Netscape For Windows 95 Announced," Newsweek Business Information, Inc., 8/1995 (AOL 1206870- 1206873)
	A23	"Netscape introduces Netscape Smartmarks™ and Netscape Chat™; Applications Bring New Navigation and Communications Capabilities to Users of Netscape Navigator for Windows," Netscape Chat Help Contents (AOL 613173 - 613243)

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		Application Number	09/399,578
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	09/20/1999
		First Named Inventor	Marks, Daniel L.
		Group Art Unit	2155
		Examiner Name	Winder, Patrice L.
		Attorney Docket Number	
Sheet	1	of	8

U.S. PATENT DOCUMENTS					
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	A1	5,613,056	03/18/1997	Gasper, et al.	
	A2	5,617,539	04/01/1997	Ludwig, et al.	
	A3	5,627,978	05/06/1997	Altom, et al.	
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	A5	5,713,019	01/27/1998	Keaten	
	A6	5,721,763	02/24/1998	Joseph, et al.	
	A7	5,729,684	05/17/1998	Kuzma	
	A8	5,754,775	05/19/1998	Adamson, et al.	
	A9	5,784,568	07/21/1998	Needham	
	A10	5,794,006	08/11/1998	Sanderman	
	A11	5,794,210	08/11/1998	Goldhaber, et al.	
	A12	5,801,700	09/01/1998	Ferguson	
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	A16	5,880,731	03/09/1999	Lies, et al.	
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	A18	5,924,082	07/13/1999	Silverman, et al.	
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	A20	5,941,947	08/24/1999	Brown, et al.	
	A21	5,974,409	10/26/1999	Sanu, et al.	
	A22	5,987,401	11/16/1999	Trudeau	
	A23	6,692,359	02/17/2004	Williams, et al.	
	A24	4,710,917	12/01/1987	Tompkins, et al.	
	A25	4,953,159	08/28/1990	Hayden, et al.	
	A26	5,195,086	03/16/1993	Baumgartner, et al.	
	A27	5,257,306	10/26/1993	Watanabe	
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	A32	5,572,248	11/05/1996	Allen, et al.	
	A33	5,572,643	11/05/1996	Judson	

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				Application Number	09/399,578
Sheet		2	Of	8	Filing Date 09/20/1999 First Named Inventor 2155 Group Art Unit 2155 Examiner Name Winder, Patrice L. Attorney Docket Number

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A34	5,592,478	01/07/1997	Weiss	
	A35	5,440,624	08/08/1995	Schoof, II	
	A36	5,774,668	06/30/1998	Choquier, et al.	
	A37	5,799,151	08/25/1998	Hoffer	
	A38	5,812,552	09/22/1998	Arora, et al.	
	A39	5,826,085	10/20/1998	Bennett, et al.	
	A40	5,933,599	08/03/1999	Nolan	
	A41	5,956,509	09/21/1999	Kevner	

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	A42	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Complaint" filed 6/24/2004.
	A43	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Notice of Claim Involving a Patent" filed 6/24/2004.
	A44	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "First Amended Answer to the Complaint, and Counterclaim of Defendant America Online, Inc." filed 9/14/2004.
	A45	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "Plaintiff's Reply to the First Amended Counterclaim of Defendant America Online, Inc." filed 9/28/2004.
	A46	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated April 29, 2005.

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	A47	Windy City Innovations, LLC v. America Online, Inc., Civil Action No. 04 C 4240, "AOL's Second Supplemental Response to Plaintiff Windy City Innovations, LLC's First Set of Interrogatories (No. 4)" dated May 20, 2005.
	A48	NETSCAPE, "Netscape Power Pack Bookmarks, Chat, and Multimedia Add-Ons". (AOL 613167-613172)
	A49	NETSCAPE, "Netscape Announces Add-On Product Suite for Popular Netscape Navigator Software, Netscape Power Pack Includes Netscape SmartMarks, Netscape Chat and Multimedia Add-On Applications From Adobe, Apple, and Progressive Networks" Press Release, 05/11/2005, pp. 1-3. (AOL 613244-613246)
	A50	PR NEWswire ASSOC., INC. "Netscape Announces Add-On Product Suite For Popular Netscape Navigator™ Software" Article, 10/25/1999, pp. 1-2. (AOL 613247-613248)
	A51	NETSCAPE, "Netscape Chat Help Contents" Manual. (AOL 613173-613243)
	A52	WIRED CHANNELING "Tips for Foiling the NSA" Article, 01/1996, pg. 174. (AOL 469104-469105)
	A53	FLASH NEWS "Market Support News Jacksonville Update" Article, 05/19/1995, pp. 1-4, (AOL 469106-469109)
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	A58	CARLSSON, "DIVE -- a Multi-User Virtual Reality System", Article, IEEE 1993, pp. 394-400. (AOL 074894-074900)
	A59	BENFORD, et al., "Supporting Cooperative Work in Virtual Environments", The Computer Journal, Vol. 37, No. 8, 1994, pp. 653-668. (AOL 074901-074916)
	A60	FARALLON COMPUTING, INC., "Timbuktu™ User's Guide, Manual, pp. 1-98. (AOL 074917-075026)
	A61	BERLAGE, et al., "A Framework For Shared Applications With a Replicated Architecture", Article, 11/3-5/1993, pp. 249-257. (AOL 075027-075035)
	A62	SOHLENKAMP, "A Virtual Office Environment Supporting Shared Applications", Article, 02/7-11/1994. (AOL 075036-075044)

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	A63	FARALLON COMPUTING, INC., "Timbuktu/Remote™ User's Guide", Article, pp. 6-8. (AOL 075063-075066)
	A64	GAJEWSKA, et al., "Argo: A System for Distributed Collaboration", Article, pp. 1-12. (AOL 075080-075094)
	A65	HANDLEY, et al., "CCCP: Conference Control Channel Protocol A Scalable Base for Building Conference Control Applications", pp. 1-18. (AOL 075092-075109)
	A66	BAHR, et al., "Multimedia Conferencing in a Packet Switched Environment", Article. (AOL 075110-075113)
	A67	SASSE, et al., "Multimedia Conferencing over the Internet, The MICE Project", Article, pp. 1-17. (AOL 075114-075130)
	A68	SASSE, et al., "Interacting with Multi-media, Multi-user Systems: Observations on Multi-Media Conferencing Tools", Article. (AOL 075131-075144)
	A69	HANDLEY, et al., "The Conference Control Channel Protocol (CCCP): A Scalable Base for Building Conference Control Applications", Article, 1995, pp. 275-287. (AOL 075145-075157)
	A70	SASSE, et al., "Remote Seminars through Multimedia Conferencing: Experiences from the MICE Project", Article, Proc. INET '94/JENC5, pp. 1-8. (AOL 075158-075165)
	A71	HANDLEY, et al., "Multimedia Integrated Conferencing for European Researchers (MICE): Piloting Activities and the Conference Management and Multiplexing Centre", Article, pp. 1-14. (AOL 075183-075196)
	A72	KIRSTEIN, et al., "Piloting of Multimedia Integrated Communications for European Researchers (MICE)", Article, Proc. INET '93, pp. 1-12. (AOL 075197-075208)
	A73	KIRSTEIN, et al., "Recent Activities in the MICE Conferencing Project", Article, Proc. INET '95. (AOL 075209-075218)
	A74	TURLETTI, "The INRIA Videoconferencing System", Article, pp. 1-7. (AOL 075219-075225)
	A75	BAHR, et al., "Incorporating Security Functions in Multimedia Conferencing Applications in the Context of the MICE Project", Article. (AOL 075226-075233)
	A76	BILTING, et al., "International Research Seminars through Multimedia conferencing: Experiences from the MICE Project", Article. (AOL 075234-075237)
	A77	SASSE, et al., "Multimedia Conferencing Over The Internet: The MICE Project and Tools", Article, pp. 1-11. (AOL 075238-075248)
	A78	SASSE, et al., "Remote Seminars through Multimedia Conferencing: Experiences from the MICE Project", Article, Proc. INET '94/JENC5. (AOL 075249-075260)
	A79	CLAYMAN, et al., "The Interworking of Internet and ISDN Networks for Multimedia Conferencing", Article, pp. 1-28. (AOL 075261-075288)
	A80	BYTE, "Network and Windows 95 Take Top BYTE Awards", Article, July 1995. (AOL 055731-055732)

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Sheet	5	Of	8
		Attorney Docket Number	

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	A81	COMPU SERVE, "CompuServe Producer User Guide", Article, 04/19/1995, pp. 1-36. (AOL 055743-055779)
	A82	REESE, et al., "Online with Start Kesmai Air Warrior", Article. (AOL 055780-055781)
	A83	MAWBY, "Designing Collaborative Writing Tools", Article, 1991, pp. 1-191. (AOL 074678-074870)
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				Application Number	09/399,578
				Filing Date	09/20/1999
				First Named Inventor	2155
				Group Art Unit	2155
				Examiner Name	Winder, Patrice L.
Sheet <u>6</u> Of <u>8</u>				Attorney Docket Number	

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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	A104	WATABE, et al., "Distributed Multiparty Desktop Conferencing System: MERMAID", Article, 10/1990, pp. 27-38. (AOL 052415-052426)
	A105	HORN, et al., "An ISDN Multimedia Conference Bridge", Article, 1990 IEEE, pp. 853-856. (AOL 052427-052430)
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	A117	WEYMOUTH, et al., "The Upper Atmospheric Research Collaboratory: UARC", Article. (AOL 052547-052552)
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary))		Application Number	09/399,578
		Filing Date	09/20/1999
		First Named Inventor	2155
		Group Art Unit	2155
		Examiner Name	Winder, Patrice L.
Sheet	7	Of	8
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	A119	FREGA, et al., "A Multimedia Bulletin Board in WWW Environment", Article. (AOL 052567-052574)
	A120	HORN, et al., "An ISDN Multimedia Conference Bridge", Article, IEEE Region 10, 09/1990, pp. 853-856. (AOL 052575-052578)
	A121	TANG, et al., "Montage: Providing Teleproximity for Distributed Groups", Article, 04/24-28/1994, pp. 37-43. (AOL 052579-052585)
	A122	PEARL, "System Support for Integrated Desktop Video Conferencing", Article, 12/1992, pp. 1-14. (AOL 052586-052600)
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	A126	BRINCK, et al., "A Collaborative Medium for the Support of Conversational Props", Article, 11/1992, pp. 171-178. (AOL 052636-052643)
	A127	GRAHAM, et al., "Relational Views as a Model for Automatic Distributed Implementation of Multi-User Applications", Article, 11/1992, pp. 59-66. (AOL 052644-052651)
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	A130	CLARK, "Multipoint Multimedia Conferencing", Article, 05/1992 IEEE, pp. 44-50. (AOL 052678-052684)
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	A135	BUXTON, et al., "Europarc's Integrated Interactive Intermedia Facility (IIIF): Early Experiences". In S. Gibbs & A.A. Verrijn-Stuart (Eds.), <i>Multiuser interfaces and applications, Proceedings of the IFIP WG 8.4 Conference on Multi-user Interfaces and Applications</i> , Heraklion, Crete. Amsterdam: Elsevier Science Publishers B.V. (North-Holland), 11-34. (AOL 052756-052764)
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	A140	GAVER, et al., "Realizing a Video Environment: Europarc's Rave System," Chi '92, May 3-7, 1992, pp. 27-35. (AOL 052811-052819)
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Form PTO-1449 (modified)

Atty. Docket No. AIS-P1-99

Serial No.  
09/339,578

List of Patents and Publications for Applicant's

Applicant: Daniel L. Marks

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2765

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Foreign Patent Documents

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Other Art

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1	5,616,876	Apr. 1, 1997	Cluts	84	609	April 19, 1995
	A2	5,793,365	Aug. 11, 1998	Tang et al.	345	329	Jan. 2, 1996
	A3	5,832,212	Nov. 3, 1998	Cragun et al.	295	188.01	April 19, 1996
	A4	5,941,947	Aug. 24, 1999	Brown et al.	709	225	Aug. 18, 1995

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